



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2

Applicant:	Kraft, et al.	§ Group Art Unit:	Unknown
Serial No.:	09/414333	§ Examiner:	Unknown
Filed:	October 7, 1999	§ Attorney Docket:	AT9-99-288
Title:	Dynamically Adjustable Software Encryption	§ Intellectual Property Law Department	
		§ International Business Machines Corp.	
		§ 11400 Burnet Road	
		§ Austin, Texas 78758	

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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Dear Sir:

Applicants submit herewith patent, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

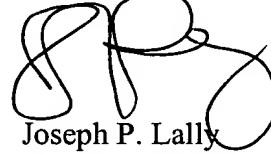
The attached form, PTO/SB/08A, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(i). A copy of each of the items on PTO/SB/08A is supplied herewith.

Assistant Commissioner for Patents
August 9, 2001
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Serial No. 09/414333
Attorney Docket No. AT9-99-288

Because this IDS is being submitted before a first office action on the merits, Applicant believes that the filing of this IDS is within the period specified in 37 CFR 1.97(b)(3), and that no accompanying petition or fee is required.

Respectfully submitted,



Joseph P. Lally
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JPL/mmm

Attachment





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J131

#2

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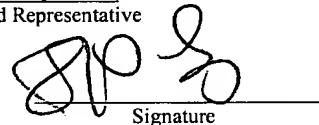
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37 CFR § 1.8 CERTIFICATE OF MAILING

I, the undersigned, hereby certify that I am depositing or that I have a reasonable basis to expect another to deposit this correspondence and the items referenced therein on or before the date indicated below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Washington, DC 20231.

Joseph P. Lally
Name of Registered Representative

August 9, 2001
Date


Signature

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Re: Patent Application No. 09/414333, entitled *Dynamically Adjustable Software Encryption*; Kraft, et al.; Filed October 7, 1999; IBM Docket No. AT9-99-288

Dear Sir:

Transmitted herewith for filing in connection with the above referenced patent application, please find:

1. An Information Disclosure Statement (IDS);
2. Form PTO/SB/08A; and
3. A self-addressed, stamped postcard evidencing receipt of these materials. Please stamp the postcard and deposit it with the U.S. Postal Service.

Assistant Commissioner for Patents
August 9, 2001
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This IDS is being filed prior to the mailing of a first Office Action on the merits; therefore, Applicant believes no fee is required. However, if a fee is required, the Commissioner is authorized to charge any such fee to Dewan & Lally, L.L.P. Deposit Account No. 50-0335/IBM.5158R.

Respectfully submitted,

Joseph P. Lally
Reg. No. 38,947
ATTORNEY FOR APPLICANT

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Enclosures